|  |
| --- |
| **APPLICATION FORMAT****GLOBALG.A.P. CERTIFICATION - BASIS FOR CROPS**Control Union Services S.A.C. -Address: Av. Petit Thouars 4653 Piso 6, oficina 603, Miraflores, Lima - Peru Phone: +51 (1) 7190400 Fax: +51- (1) 7190410 htps://peru.controlunion.com/es info.peru@controlunion.com |

|  |  |
| --- | --- |
| **Name of the company:** (Please insert the full name of the company, e.g.: Ltd., Inc., SAC, SA, SARL, BV) 2sx  | **Name of the company:** (Street, zip code, city, state, province, country, P.O. box)  |
| **Legal Representative:Name: Position:**  | **Contact person: (in addition)Name: Position:**  |
| **Phones: Fax:** | **E-mail address:**  |
| **Taxpayer Identification Number, RUC, RNC, etc. / Company Registration Number / Chamber of Commerce No.:** in country of registration | **Global Location Number / GS1 GLN** (if available): |
| **GPS coordinates of the site address:** **Latitude:****Longitude:** | **GLOBALG.A.P. Number (previous GGN) in case it already exists** |

|  |
| --- |
| **GLOBALG.A.P. IFA v5 (mark preferred modules with an “X”)- Crop Production** |

|  |
| --- |
|[ ]  GLOBALG.A.P. Integrated Farm Assurance (IFA) See 5.2 |
|[ ]  GLOBALG.A.P. Integrated Farm Assurance (IFA) See 5.4-1 GFS |
|[ ]  [[1]](#footnote-1)GLOBALG.A.P. Product Handling Assurance (PHA) See 1.2-1 |

|  |
| --- |
| **Add-on programs** |
|[ ]  GLOBALG.A.P. Risk Assessment of Social Practices (GRASP) |
|[ ]  Food Safety Modernization Act Produce Safety Rule (FSMA PSR) |
|[ ]  Biosafety R4T Add-on for Bananas |
|[ ]  Tesco Nurture Module – Fruits and Vegetables | Name of primary supplier: O-key of primary supplier: Name of exporting company: Approved PPPL ID: |

|  |
| --- |
| **Agricultural Production** |
|[ ]  Fruits & Vegetables |

|  |
| --- |
| **Certification option** |
|[ ]  Opción 1 (sitio único) |[ ]  Opción 1 Multi-sitios con SGC |
|[ ]  Opción 1 Multi-sitios sin SGC |[ ]  Opción 2 |

|  |
| --- |
| **Programa de Auditoria** |
| Tipo de Auditoría (dentro de un ciclo de certificación) |
|[ ]  Certificación inicial |[ ]  Auditoría de recertificación o renovación |
|[ ]  Auditoría de seguimiento (Opción 2) |
| **Auditorias Especiales** |
|[ ]  Ampliación de Alcance  |[ ]  Auditoría de re- evaluación (ejemplo: cierre de no conformidades, levantamiento de suspensión) |

**In case of option 2 and option 1 Multi-sites with QMS**

|  |  |
| --- | --- |
| Name(s) of the internal auditor(s): |   |
| Name(s) of internal inspector(s): |   |

**Additional Services (if required)**

|  |  |
| --- | --- |
|  | **Pre-audit** – This lets you figure out, before the first inspection or audit, how well the current production system meets GLOBALGAP requirements. |
|  | Application for off-site/on-site module audit/inspection (CB may decide to offer it)(Acceptance of 2-stage inspection will be at CU’s criteria) |
|  | Unannounced Rewards Program Application |
| **Specify Report Language prior to the audit**: |

**Has the applicant or any of its included or subcontracted units been registered, inspected, or certified under any of the GLOBALG.A.P. standards, or has it used another certification body for GG at the same time?**

If Yes, please indicate: GGN No. and the reason for the change of certification body. **Nota:** the lack of communication of the GGN will result in a fine by GLOBALG.A.P.

|  |  |  |
| --- | --- | --- |
|  | **YES, GGN: Product:** | **Reason for CB change:** |
|  | **NO** |

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **1. Products**  |  |  |  |  |  |  |  |  |  |
| **1.1 Integrated Farm Assurance -IFA** |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Crop name** according to GLOBALG.A.P. product list. | **Crop Period** | **1st Crop** in hectares | **Additional crops in Ha**(For perennial crops: not yet in production; indicate area here.)  | **Parallel Production 1(YES****/NO)** | **Parallel Property 2(YES /NO)** | **Product handling 3 (YES /NO)** | **Destination countries** | **Applicable add-on** |
| With crop period |
| Covered | No Covered | Covered | No Covered |
|  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
| **Parallel Production 1** – Parallel production is the situation where the farmer produces the same product partly as certified and partly as non-certified. **NEW!** It is also considered PP if a producer group has both certified and non-certified members for the same product. |
| **Parallel ownership 2** – Parallel ownership occurs when a producer purchases non-certified products of the same products grown under certified production. **NEW!** It is also Parallel Ownership in cases where a producer or producer group buys non-certified products of the same product they have certified. |
| **Product handling 3** - If there is product handling, please fill out Item 4 in the last declaration. |

**1.2 Product Handling Assurance (PHA) Standard**

|  |
| --- |
| The packing plant and handling site details are provided below: |
| Is this a closed or open shed? | [ ]  Open shed[ ]  Close shed  |
| What is the surface area of the production area (Ha)? |  |
| If the product storage is separate from the production area,What is the square footage of the storage area? |  |
| Total area in M2 to be certified   |  |
| Number of employees   |  |
| How many HACCP plans does the company have?If more than 1, provide details: |  |
|  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Crop name according to GLOBALG.A.P. product list. | Packaging Yes/NoIncludes cleaning, washing, waxing, repacking, labeling, storing, shipping) | Pre-processing Yes/No (Including trimming, packaging, waxing, natural drying, etc.) | Refrigeration/Cold Storage (Yes/No) (Includes refrigeration and hydro-cooling) | Warehousing/Distribution Yes/No (Includes storage, loading, labeling) | Destination countries |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |

**2. Production Sites** (For instance, farm sites, fields, cultivated land, and herds).

Please use Annex II instead of this table for producer groups or multi-sites with GSC.

Note: If parallel production is applicable, please list all production sites that apply for certification and those that do not apply for certification below, or in the case of producer groups, please attach a complete list of producers.

|  |  |
| --- | --- |
|  | Applicable units for Certification |
| **Name of the unit** | **Geo coordinates (Lat/Long)** | **Address and country** | **Name of contact person** | **Crops produced** | **Area (Ha)** | **Added/****Changed/****Removed** |
| **Cover** | **Not covered** |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  | Units that do NOT apply for certification |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |

**3. Product Handling Units/ Processing Units** (e.g., warehouse, packaging, etc.).

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Name of the unit** | **Geo coordinates (Lat/Long)** | **Address and country** | **Processes (e.g., warehouse, packaging)** | **Handled products** | **Parallel property 2(Yes / No)** | **Added/****Changed/****Removed** |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  | Produce handling exclusion/No produce handling |  | Reason/ justifica-tion: |  |

**4. Location**

|  |
| --- |
| **Please provide an estimate of the travel time between the units and the nearest airport.** |
|  |
| **Please provide an estimate of the travel time between the units and the administrative office.** |
| **The unit’s name** | **Transfer time** | **Transportation Modes** |
|  |  |  |
|  |  |  |

**5. Product Custody Statement for Fruit and Vegetable Producers:** I declare that (mark with an “X”, only if applicable)

|  |  |
| --- | --- |
|  | In my product handling unit, the same product(s) is/are also packaged for **other non-Global GAP-certified producers**. |
|  | In my product handling unit, the same product(s) are also packaged for **other non-Global GAP-certified producers**. |
|  | The product handling operation is **sub-contracted** to other **GLOBALG.A.P. certified** producer(s). Please also complete item 5. |
|  | The product handling operation is sub-contracted to another producer(s)/company **NOT GLOBALG.A.P. certified.** If the product handling unit/producer is IFS, BRC certified, please include the certificate number and validity. Please also complete item 5. |
|  | **Product harvest exclusion,** Product(s), exclusion(s), ownership(s) and **justification** (e.g., attach a copy of the contract with the buyer): |

**6. Sub-contractors** Are any of your production, processing, or handling activities performed by subcontractors (e.g., chemical treatment)? If yes, please indicate below:

|  |  |  |  |
| --- | --- | --- | --- |
| **Sub-contractor** | **Address and country** | **Activity / Process** | **Certificate (Globalgap, IFS, BRC, etc.) or not (if yes, mention GGN/GLN and validity)** |
|  |  |  |  |
|  |  |  |  |

**7.- Non-operating period at production and/or handling sites**

Please indicate off-season dates, including holidays and staff vacations.

(This refers to when the facility is closed.)

\*If selected for the unannounced audit

|  |  |  |
| --- | --- | --- |
| Date | Period | Justification |
|  |  |  |
|  |  |  |

**9. Statement on disclosure of information in the GLOBALG.A.P. database.**

I agree to the release of information in one of the following forms in the GLOBALG.A.P. database: Mark the data release level of your preference with an “X.” These options are based on GLOBALG.A.P.’s General Database Access Rules.

|  |  |
| --- | --- |
|  | **Yes, I agree to authorize access to my company’s name and address to the “Public” data access group after explaining this option to me.** |
|  | **No, I do not agree to authorize access to my company’s name and address to the “Public” data access group after explaining this option to me.** |

**10. Audit period of preference**

|  |
| --- |
|  |

The person signing below says that all of the information on this application form is true.

|  |
| --- |
| **NAME OF THE COMPANY:**  |
| **NAME OF LEGAL REPRESENTATIVE:**  |
| **POSITION IN THE COMPANY:**  |
| **DATE AND SIGNATURE:** |

**Based on the above information, Control Union Services S.A.C.**

**will prepare a non-binding contract offer and send it to you.**

**Annex I**

Please fill in the data below for evaluation - GRASP

Note: In the case of Option 2, for producer groups and product handling units, members should use the producer list (annex).

|  |  |
| --- | --- |
| Month(s) of peak season |  |
| Is accommodation provided for workers? YES/NO |  |
| Nationality of workers |  |
| Please state the name of the workers’ union/association |  |
| Are any workers covered by the collective bargaining agreement (CBA)? YES (Explain)/NO |  |
| Is there a piece rate system? YES (Explain)/NO |  |
| Is accommodation provided for workers? YES (Indicate address) / NO |  |
| Languages spoken on the farm |  |

|  |  |
| --- | --- |
| **Total number of employees (annual average)** |  |
|  | **Local Workers** | **Foreign immigrants** | **National migrants** |
| **In agricultural production** |  |  |  |
| – in agricultural production-Permanent |  |  |  |
| – in agricultural production-Temporary |  |  |  |
| – in agricultural production-Agency |  |  |  |
| **At product handling center(s)** |  |  |  |
| – at product handling facility(ies) - Permanent |  |  |  |
| – at product handling facility(ies) - Temporary |  |  |  |
| – at product handling facility(ies) - Agency |  |  |  |
| **Total- Permanent** |  |  |  |
| **Total- Temporary** |  |  |  |
| **Total- Agency** |  |  |  |
| **Total** |  |  |  |

**Annex III**

Please fill out the following information for evaluation: FSMA PSR Add-on.

Note: Only products listed in the GLOBALG.A.P. approved products list apply (exceptions in §112.2 e.g., asparagus, ginger, etc.\*) and only for destination countries in the USA.

|  |  |  |  |
| --- | --- | --- | --- |
| **Product \*\*** | **Production area covered (1)****(in hectares)** | **Area under production not covered (2) (in hectares)** | **Product handling (3)****(Y/N)** |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

\*\* Parallel or ownership production is not allowed for FSMA.

(1) Covered area under greenhouses, for example.

(2) Non-covered area, i.e., open field production.

(3) If 50% or more of the product to be certified is handled in the PHU**.**

**Important:**

*Compliance with the FSMA PSR Add-on is not a guarantee or warranty of compliance with the FSMA. Legal compliance can only be determined by a regulatory authority, such as the FDA. This certification is based on observations made during an inspection against the standards established by the FSMA PSR Add-on. No certification can guarantee that all the food produced by the inspected operation is safe for consumption.*

1. PHA is an independent Global GAP standard for the packing and storage of agricultural products. PHA is available as a single-site option and a multi-site option without QMS. [↑](#footnote-ref-1)